

SOLICITOR

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TO:	
U.S. PATENT & TRADEMARK OFFICE COMMISSIONER OF PATENTS AND TRADEMARKS WASHINGTON, D.C. 20231	REPORT ON THE FILING OF DETERMINATION OF AN ACTION OR APPEAL REGARDING A COPYRIGHT

In compliance with the Act of July 19, 1952 (66 Stat. 814; 35 U.S.C. 290) you are hereby advised
that a court action has been filed on the following patent(s) in the U.S. District Court:

DOCKET 05cv2164	DATE FILED 4/13/05	UNITED STATES DISTRICT COURT, NORTHERN DISTRICT OF ILLINOIS, EASTERN DIVISION
PLAINTIFF Trading Technologies International Inc. 222 South Riverside Plaza, Suite 1100 Chicago, IL 60606	DEFENDANT Man Group PLC Sugar Quay, Lower Thames Street London EC3R 6DU, United Kingdom	
PATENT NO.	DATE OF PATENT	PATENTEE
6,766,304	July 20, 2004	Gary A. Kemp, Jean-Uwe Schluetter and Harris Brumfield
6,772,132	August 3, 2004	"

In the above-entitled case, the following patent(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading		
PATENT NO.	DATE OF PATENT	PATENT	

In the above-entitled case, the following decision has been rendered or judgment issued:

DECISION/JUDGMENT		
CLERK Michael W. Dobbins	(BY) DEPUTY CLERK Laura Springer	DATE 8/29/07

3. TT is the sole and exclusive owner of the '304 patent and the '132 patent, and has the right to sue upon, and recover damages for past infringement and enjoin future infringement of the '304 and '132 patents.
4. All claims of the '304 and '132 patents are valid and enforceable.
5. PATS has infringed the '304 and '132 patents under 35 U.S.C. Section 171 by making, using, selling, offering for sale, importing, and/or otherwise distributing electronic trading software incorporating the DOME screen, which presently includes versions of PATS' "J-Trader" and "H-Trader" products, a screenshot of which is included in Exhibit A.
6. Defendant PATS, its officers, directors, partners, members, affiliates, subsidiaries, assigns, and successors-in-interest are hereby permanently enjoined (unless expressly permitted by TT) during the terms of the '304 and '132 patents from the making, using, selling, offering for sale, importing, facilitating connectivity for and otherwise distributing in the United States the electronic trading software products referred to in paragraph 5 above including, without limitation, for use in electronically trading any item (e.g., futures, options, equities, fixed-income products, etc.).
7. TT waives its rights to damages from PATS arising from the manufacture, use, sale or offers to sell, importation and/or other distribution of the electronic trading software products referred to in paragraph 5 above prior to entry of this Judgment.
8. Notwithstanding the terms of this Consent Judgment, PATS expressly reserves the right to contest infringement if the PATS software is modified so as to not infringe

the patents-in-suit. In the event that PATS contests infringement based on PATS software that is modified, TT reserves the right to assert any and all claims against PATS including without limitation a claim of contempt of court based on a violation of this Consent Judgment in the event that the Court finds there is no colorable difference between the modified PATS software and the software that is the subject of this Consent Judgment.

9. This Court shall retain jurisdiction over this case for purposes of enforcing the Consent Judgment and the confidential Settlement Agreement between the parties pursuant to which the Consent Judgment is filed.

10. Each party shall bear its own costs and attorneys' fees.

SO ORDERED

Dated: May 20, 2005



Robert W. Gettleman
United States District Court Judge

AGREED TO:

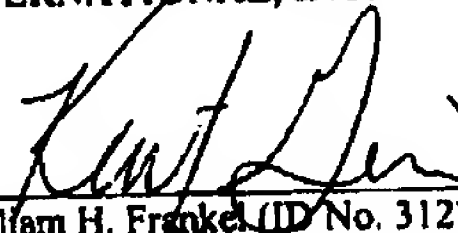
Dated: 5/20/05



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**Attorneys for Plaintiff
TRADING TECHNOLOGIES
INTERNATIONAL, INC.**

Dated: 5/20/2005



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Kent E. Genin (ID No. 6216122)
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**Attorneys for Defendants
PATSYSTEMS (NA) LLC and
PATSYSTEMS PLC**

EXHIBIT A

DOME (Depth Of Market Execution)

You can have many DOME screens open at the same time.

To scroll the price list up or down, click the arrow button above or below

To show a greater range of prices, increase the size of the DOME window by clicking on the upper or lower edge

To launch the DOME (shown on the right) highlight a Contract Month and click the DOME button.

The DOME shows the current price range for this contract date. The blue price is the current best bid, the red price is the current best offer.

The last traded price is shown in bold (or bold blue / red if it is the same as the bid / offer). The green figure shows the number of lots last traded.

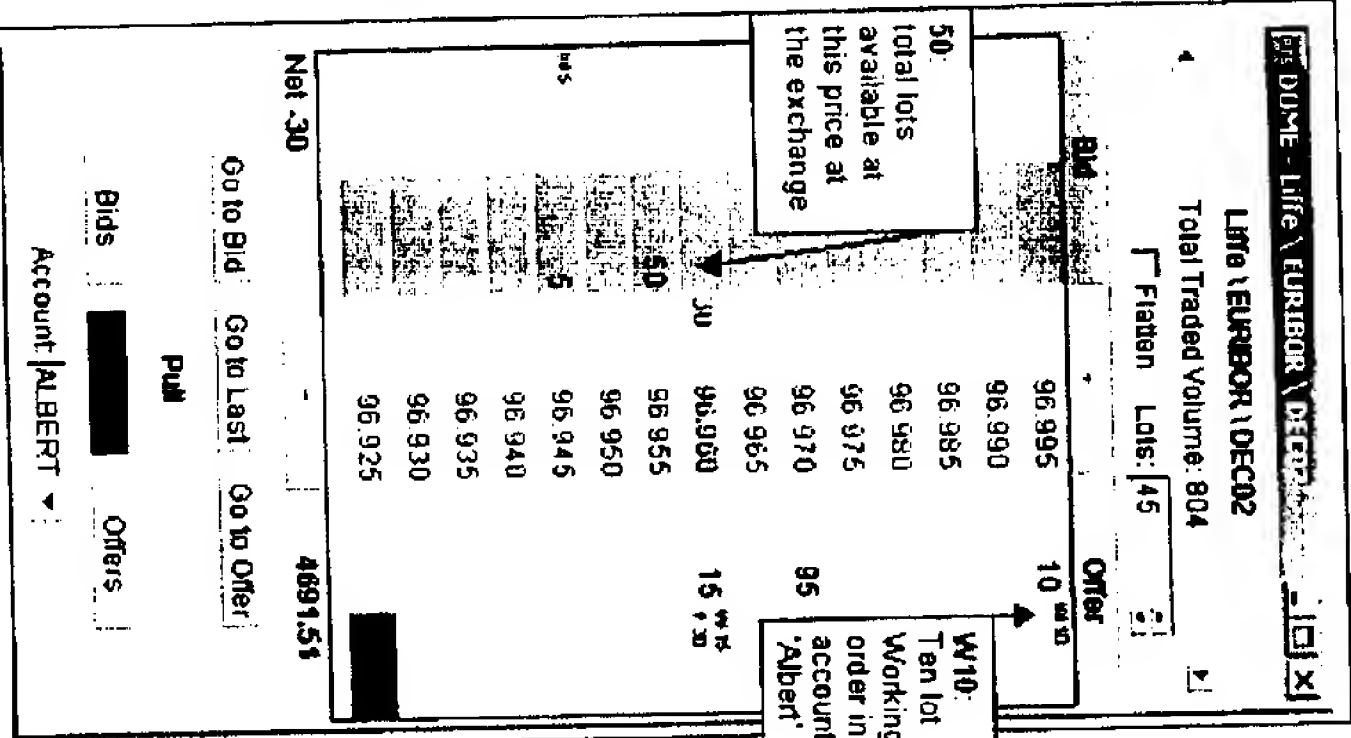
The figures in the blue (and pink) columns represent the total lot size of all available bids (and offers).

The white bid and offer columns show the Working and part Filled orders for your trader account. If you change the Account field, you will see the working and part filled orders for that Account.

In this example, trader account 'Albert' has a 45 lot order at the offer price. 30 lots have been filled and 15 are still working, shown by the W15 and F30 in the white offer column. The green Last Traded figure also shows the 30 lot fill.

Before placing orders, make sure the Lot size is correct.

If you want to flatten your position with a single trade, tick the Flatten checkbox to set the lot size to the absolute value of the Net position



United States District Court, Northern District of Illinois

AE

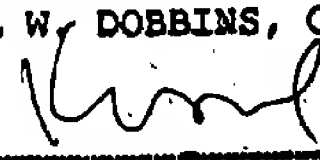
Name of Assigned Judge or Magistrate Judge	William T. Hart	Sitting Judge if Other than Assigned Judge	
CASE NUMBER	05 C 2164	DATE	11/30/2005
CASE TITLE	Trading Technologies International, Inc. vs. Man Financial, Inc.		

DOCKET ENTRY TEXT

Status hearing held. Enter Consent Judgment in favor of plaintiff and against defendant with each party to bear its own costs and attorneys' fees. Court retains jurisdiction to enforce the Consent Judgment.

Docketing to mail notices.

■ [For further detail see attached order.]

A TRUE COPY-ATTEST	
MICHAEL W. DOBBINS, CLERK	
By _____	
DEPUTY CLERK	
U. S. DISTRICT COURT, NORTHERN	
DISTRICT OF ILLINOIS	
AUG 31 2007	
DATE: _____	

Courtroom Deputy Initials:	CW
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AC

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION

Trading Technologies International, Inc.,

Plaintiff,

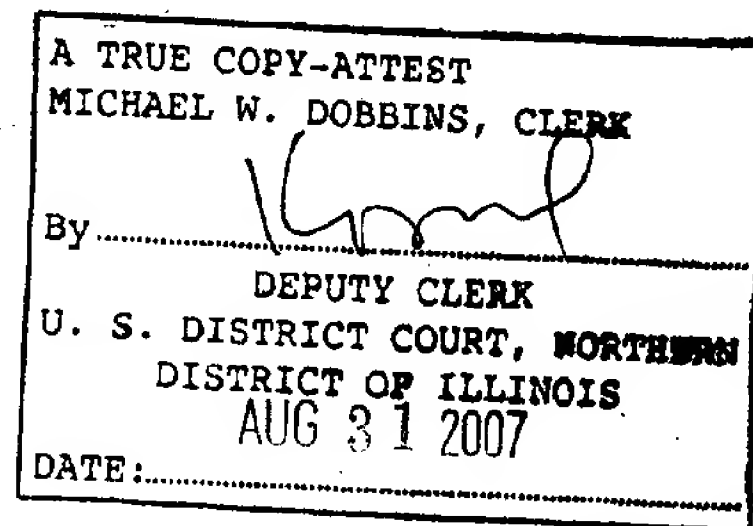
v.

Man Financial, Inc.

Defendant.

Civil Action No. 05 C 2164

Judge William T. Hart



CONSENT JUDGMENT

As a result of settlement of this action by virtue of a confidential settlement agreement between the parties dated November 21, 2005 which shall become effective upon entry of this Consent Judgment and upon consent of Plaintiff, Trading Technologies International, Inc. ("TT") and Defendant, Man Financial, Inc. ("MAN"), judgment is hereby entered against MAN upon TT's Complaint, and it is hereby ORDERED, ADJUDGED, and DECREED that:

1. TT commenced this action for patent infringement against MAN on April 13, 2005. This action alleges that MAN infringes U.S. Patent Nos. 6,766,304 ("304 patent") and 6,772,132 ("132 patent").

2. This Court has jurisdiction over the parties to this action and over the subject matter of the Complaint.

3. MAN does not contest that TT is the sole and exclusive owner of the '304 patent and the '132 patent, and has the right to sue upon, and recover damages for past infringement and enjoin future infringement of the '304 and '132 patents.

4. MAN does not contest the validity or enforceability of any claims of the '304 and '132 patents. Accordingly, all claims of the '304 and '132 patents are valid and enforceable against MAN.

5. The case of *TT v. Patystems (NA) LLC et al.* ("Patsystems"), Civil Action No. 05 C 2984 (N.D. Ill., Judge Gettleman) was resolved on May 20, 2005 by the entry of a Consent Judgment finding that Patsystems infringed the '304 and '132 patents by making, using and selling software that incorporated a trading screen named "DOME". A copy of that Consent Judgment is attached as Exhibit 1 hereto. A screenshot of the infringing DOME product is attached as Exhibit A to that Consent Judgment. After July 20, 2004 (the issue date of the '304 patent) and prior to the commencement of this lawsuit, MAN provided to its clients electronic trading software made by Patsystems that incorporated the DOME trading screen that was the subject of the May 20, 2005 Consent Judgment. MAN does not contest the finding of infringement against Patsystems made in the Consent Judgment attached as Exhibit A. TT does not now contend that the infringement was willful or deliberate. Accordingly, the parties stipulate that MAN has infringed the '304 and '132 patents under 35 U.S.C. Section 271 by using, selling,

offering for sale, importing, and/or otherwise distributing, the DOME trading screen from Patsystems, but that MAN's infringement was not willful or deliberate.

6. Defendant MAN, its officers, directors, partners, members, affiliates, subsidiaries, assigns, and successors-in-interest are hereby permanently enjoined (unless expressly permitted by TT) during the terms of the '304 and '132 patents from infringing the '304 and '132 patents through the making, using, selling, offering for sale, importing, facilitating connectivity for and otherwise distributing in the United States the electronic trading software products referred to in paragraph 5 above (and substantially similar products) including, without limitation, for use in electronically trading any item (e.g., futures, options, equities, fixed-income products, etc.).

7. Any claims of infringement that TT has against MAN based on MAN's use and/or distribution of electronic trading software provided by other third parties prior to entry of this judgment are dismissed without prejudice. The parties have addressed this issue in the Settlement Agreement by MAN agreeing not to infringe the '304 and '132 patents and by TT releasing MAN for all past damages relating to any past infringement. TT is not waiving the right to assert the '304 and/or '132 patents against MAN based on MAN's use and/or distribution of such third party software in the future. MAN is not waiving any rights to assert that such third party software does not infringe the '304 and/or '132 patents if TT makes such an assertion of infringement in the future.

8. TT waives its rights to damages from MAN arising from the use, sale or offers to sell, importation and/or other distribution of the electronic trading software products referred to in paragraph 5 above prior to entry of this Judgment.

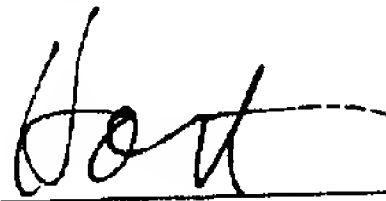
9. This Court shall retain jurisdiction over this case for purposes of enforcing the Consent Judgment.

10. The right to enforce this Consent Judgment shall transfer to any successor-in-interest to TT.

11. Each party shall bear its own costs and attorneys' fees.

SO ORDERED

Dated: 11/30/2005



United States District Court Judge

AGREED TO:

Dated: 11/30/05



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TRADING TECHNOLOGIES

INTERNATIONAL, INC.

Dated: 11/30/05



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Attorneys for Defendant

MAN FINANCIAL, INC.

EXHIBIT 1

CT

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION**

Trading Technologies International, Inc.,

Plaintiff,

v.

Patsystems (NA) LLC, and Patsystems PLC,

Defendants.

Civil Action No. 05 C 2984

Judge Gettleman

Magistrate Judge Mason

CONSENT JUDGMENT

As a result of settlement of this action by virtue of a confidential settlement agreement between the parties dated May 19, 2005 which shall become effective upon entry of this Consent Judgment and upon consent of Plaintiff, Trading Technologies International, Inc. ("TT") and Defendants, Patsystems (NA) LLC and Patsystems PLC (collectively "PATS"), judgment is hereby entered against PATS upon TT's Complaint, and it is hereby ORDERED, ADJUDGED, and DECREED that:

1. TT commenced this action for patent infringement against PATS on May 19, 2005. This action alleges that PATS infringes U.S. Patent Nos. 6,766,304 ("304 patent") and 6,772,132 ("132 patent"). PATS has received and reviewed a copy of the Complaint filed by TT in this action.
2. This Court has jurisdiction over the parties to this action and over the subject matter of the Complaint.